Detection of Steady-State VEP's: Toward Electrophysiologic Measurement of Visual Acuity

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## Collaborators and Acknowledgements

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#### Overview

- Psychophysical acuity measurement
- Objective acuity measurement
- Overview of VEP detection algorithms
- Matched Subspace Filtering (MSF)
- Prewhitening
- Experimental Results
- Multichannel MSF Detection
- Experimental Results
- Conclusions



#### Snellen Chart



20/200 acuity implies: visual system resolves 200/20=10 minutes of arc





#### **Contrast Grating**



low spatial frequency grating



high spatial frequency grating





### Psychophysical Grating Acuity

- Subject views a contrast grating as spatial frequency of grating is increased.
- When the bars can no longer be detected, subject presses a button.
- Spatial frequency at which subject no longer distinguishes separate bars is the grating acuity.
- Typical grating acuity: 28 cycles/degree (c/d)



## Why objective acuity measurement?

- Snellen acuity measurements unreliable through first 3 years of life
- amblyopia can be reversed if treated early
- some patients have disturbed consciousness: mentally retarded, cerebral paulsey, head injury, alzheimer's





#### **Objective Measurement of Visual Acuity**





#### **Overview of Detection Algorithms**

Notation:

$$x = \begin{bmatrix} x_1^T & x_2^T & \cdots & x_L^T \end{bmatrix}^T \sim M \times 1$$

 $x_i$ : response to i<sup>th</sup> contrast reversal

**Ensemble Average:** 

$$\overline{x} = \frac{1}{L} \sum_{k=1}^{L} x_k$$





#### Generalized $T^2$ Statistic (Picton et al., 1987)

DFT of i<sup>th</sup> response:

$$X_{i} = \begin{bmatrix} 1 & \cos(2\pi f_{k}) & \cdots & \cos(2\pi (N-1)f_{k}) \\ 1 & \sin(2\pi f_{k}) & \cdots & \sin(2\pi (N-1)f_{k}) \end{bmatrix} x_{i}$$

sample mean:

sample covariance:

$$\overline{X} = \frac{1}{L} \sum_{k=1}^{L} X_k \qquad C = \frac{1}{L} \sum_{k=1}^{L} (X_k - \overline{X}) (X_k - \overline{X})^T$$





#### Generalized $T^2$ Statistic (cont.)

if  $X_i$  is  $N(\mu, \Sigma)$ 

likelihood ratio test for:

$$H_0: \mu = 0 \quad \text{vs.} \quad H_1: \mu > 0$$
$$T^2 = L\overline{X}^T C^{-1} \overline{X}$$
$$\frac{L-2}{2(L-1)} T^2 \quad \text{has density:} \quad F(2, L-2)$$





#### Circular *T*<sup>2</sup> Statistic (Victor and Mast, 1991)

# DFT: $Y_{i} = \begin{bmatrix} 1 & \exp(-j2\pi f_{k}) & \cdots & \exp(-j2\pi(N-1)f_{k}) \end{bmatrix} x_{i}$ $\sim N(\mu, \Sigma)$ $H_{0}: \mu = 0 \quad \text{vs.} \quad H_{1}: \mu > 0$ $T_{circ}^{2} = (L-1) \frac{(L-1)|\overline{Y}|^{2}}{\sum_{k=1}^{L} |Y_{k} - \overline{Y}|^{2}} \qquad \overline{Y} = \frac{1}{L} \sum_{k=1}^{L} Y_{k}$

 $LT_{circ}^2$  has density: F(2, 2L-2)





# Rayleigh Phase Criterion (Kuwada et al, 1986)

$$R_p = \frac{1}{L} \sqrt{\left(\sum_{k=1}^{L} \cos \theta_k\right)^2 + \left(\sum_{k=1}^{L} \sin \theta_k\right)^2}$$

# compared against a table of thresholds for a given false alarm rate.





Record Orthogonality Test by Permutation (ROTP) (Achim, 1995)

#### Nonparametric

- Looks at power in ensemble averages obtained using all possible sign permutations of each single trial.
- If the power in the average corresponding to all "+" signs is in the top 5% of all ensemble average powers, a detection is made.



## Psychophysical vs. Objective Acuity

- Poor agreement exists between psychophysical and objective acuity measurements:
  - D. Weiner, K. Wellish, J. Nelson, and M. Kupersmith, "Comparisons among Snellen, psychophysical, and evoked potential acuity determinations," *Am. J. Optom. Physiol. Opt.*, vol.62, pp. 669-679, 1985.
  - D. Allen, A. Norcia, and C. Tyler, "Comparative study of electrophysiological and psychophysical measurement of the contrast sensitivity function in humans," *Am. J. Optom. Physiol. Opt.*, vol.63, pp. 442-449, 1986.
- Most likely reason is inadequate detection performance.





# Matched Subspace Filtering (Scharf, 1991)

Assmptions: • Signal *s* lies in a known subspace.

$$s = \sum_{k=1}^{2N} \alpha_k s_k$$

- Exact form of signal  $(\alpha_k)$  is unknown.
- Additive white Gaussian noise power is unknown.

Want a statistic which is uniformly most powerful under these three constraints.





## Matched Subspace Filtering (cont.)

#### MSF Statistic:

$$f = \frac{M - 2N}{2N} \frac{x^T P_s x}{x^T (I - P_s) x}$$

$$P_s = S(S^T S)^{-1} S^T \qquad S = \begin{bmatrix} s_1 & s_2 & \cdots & s_{2N} \end{bmatrix}$$

#### f has density F(2N, M-2N)





#### **VEP Signal Model**

The VEP consists of *N* even harmonics of the contrast reversal frequency:

$$s_k(n) = \cos(2\pi f_k n), \ k = 1, 2, ..., N$$
$$s_k(n) = \sin(2\pi f_{k-N} n), \ k = N+1, N+2, ..., 2N$$
$$f_k = 2k \times f_{stim}, k = 1, 2, ..., N$$

#### EEG noise is assumed to be an AR(p) process. -must prewhiten







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## Whitening Filter Design

AR(p) model:

$$z(n) = -\sum_{k=1}^{p} a_k z(n-k) + u(n)$$

u(n): Gaussian white noise

optimal whitening filter:

$$w = \begin{bmatrix} 1 & a_1 & a_2 & \cdots & a_p \end{bmatrix}^T$$





#### Yule-Walker Equations

$$R_{zz}\widetilde{w}=b$$

$$R_{zz} = \begin{bmatrix} r_{zz}(0) & r_{zz}(-1) & \cdots & r_{zz}(-p) \\ r_{zz}(1) & r_{zz}(0) & \cdots & r_{zz}(-(p-1)) \\ \vdots & \vdots & \ddots & \vdots \\ r_{zz}(p) & r_{zz}(p-1) & \cdots & r_{zz}(0) \end{bmatrix}$$

$$\widetilde{w} = \beta \begin{bmatrix} 1 & a_1 & \cdots & a_p \end{bmatrix}^T \qquad b = \begin{bmatrix} 1 & 0 & \cdots & 0 \end{bmatrix}^T$$
$$\widetilde{w} = \beta w \qquad \beta = \text{constant}$$





#### Perturbation due to Single Sinusoid

$$(R_{zz} + R_{ss})(\widetilde{w} + \delta\widetilde{w}) = b$$

$$R_{ss} = \begin{bmatrix} 1 & \cos(2\pi f_0) & \cdots & \cos(2\pi f_0 p) \\ \cos(2\pi f_0) & 1 & \cdots & \cos(2\pi f_0 (p-1)) \\ \vdots & \vdots & \ddots & \vdots \\ \cos(2\pi f_0 p) & \cos(2\pi f_0 (p-1)) & \cdots & 1 \end{bmatrix}$$

bias:  $\delta \widetilde{w} = \beta \delta w$ 





#### Perturbation Analysis

If: 
$$||R_{zz}^{-1}|||R_{ss}|| < 1$$

then (Stewart, 1973)

$$\frac{\left\|\boldsymbol{\delta}\widetilde{\boldsymbol{w}}\right\|}{\left\|\widetilde{\boldsymbol{w}}\right\|} \leq \frac{\left\|\boldsymbol{R}_{zz}^{-1}\right\|}{1 - \left\|\boldsymbol{R}_{zz}^{-1}\right\|} \left\|\boldsymbol{R}_{ss}\right\|}$$

relative bias:

$$\frac{\|\delta w\|}{\|w\|} \leq \|R_{zz}^{-1}\|\|R_{ss}\|$$



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and if:  $||R_{zz}^{-1}|||R_{ss}|| << 1$ 



#### Relative Bias Bound vs. SNR







#### Estimated Relative Bias vs. SNR









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#### Methods

- Vertical square wave gratings at 92% contrast.
- 5.5 degree circular field, luminance 30 foot lamberts.
- counterphase contrast reversal at 3.75 Hz, 7.5 reversals/s.
- spatial frequency varied from 4 c/d to 40 c/d, randomly.
- nineteen, 173-second runs at a fixed spatial frequency were obtained.
- EEG measured from  $O_z$ - $C_z$ .





#### Data Analysis

- Each fixed spatial frequency run broken up into 25
   *M* = 864-sample measurement vectors.
- Each measurement vector was filtered with a p = 15 whitening filter.
- Probability of detection (PD) was estimated for each spatial frequency for:

 RPC *T*<sup>2</sup> *T<sub>circ</sub>* 
 ROTP

 MSF (N = 4)









#### **Multichannel Detection**

- This approach attempts to exploit any independence that may exist in measurements taken from different scalp locations
- We measure from k = 15 different scalp locations located over the posterior half of the head centered at  $O_z$ .
- Generalize the MSF statistic to multichannel measurements



#### **Measurement Sites**



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#### Multichannel MSF

#### We seek $\alpha$ to maximize SNR estimate:

# $\frac{\alpha^T X^T P_S X \alpha}{\alpha^T X^T (I - P_S) X \alpha}$

Can solve this via solution of generalized eigenvalue problem:

$$P_S X \alpha = \lambda (I - P_S) X \alpha$$





#### Multichannel MSF (cont.)

• our statistic is: 
$$r = \sum_{n=1}^{2N} \lambda_n$$

- $\lambda_1, \lambda_2, ..., \lambda_{2N}$  are the 2N largest eigenvalues
- density of *r* under  $H_0$  is difficult to determine
- we use a nonparametric bootstrap approach to find detection threshold.





#### **Bootstrap Algorithm**

 Compute *r* values for frequencies that are slightly offset from those of stimulus harmonics at regular intervals, Δf.







Bootstrap Algorithm (cont.)

These *r*-values are assumed to have a gamma distribution:

$$p(r) = \frac{1}{\Gamma(\eta)} \alpha^{\eta} r^{\eta - 1} e^{-\alpha r} \qquad \alpha, \eta > 0$$

• first moment:  $\eta/\alpha$ 

- second moment:  $\eta/\alpha^2$
- can then determine density of H<sub>0</sub> r-values from estimating first and second moments of offset rvalues.





Bootstrap Algorithm (cont.)

 First and second moments can be computed from a quadratic regression:





#### Multichannel-MSF: Results



## Ongoing/Future Work

- Improved signal model in MSF detector.
- Eigenvalue-based detector (AIC, MDL, etc.)
- Spatial frequency control, and feedback system.
- Development of a commercial objective visual acuity measurement system for clinical use.





#### References

These slides and related papers can be downloaded in PDF format from:

www.seas.smu.edu/~cd/rpub.html





#### Conclusions

- Objective acuity measurement requires accurate and sensitive VEP detection.
- MSF detector looks at several harmonics of contrast reversal frequency, has better performance than other detectors.
- Prewhitening does not affect signal component at low SNR.
- Multichannel extension of MSF gives improved detection performance relative to single-channel MSF

